

# DFA hyper-minimisation

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November 24, 2009

# DFA minimisation

## Definition

DFA:  $\langle Q, \Sigma, \delta, q_0, F \rangle$ , where  $\delta : Q \times \Sigma \mapsto Q$ . DFA is **minimal**, if it has the minimal number of states among automata recognising  $L(M)$ .

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- unique with this property
- calculated using  $\equiv_L$ :

$$w \equiv w' \text{ if and only if } \forall w'' \quad ww'' \in L \iff w'w'' \in L$$

- equivalence classes correspond to
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- equivalence classes correspond to
  - ▶ states of the minimal automaton
  - ▶ partition of states of  $M$
- Hopcroft's algorithm:  $\mathcal{O}(n \log n)$ ; refines the partition of states

# $f$ -equivalence and hyper-minimisation

## Definition ( $f$ -equivalent)

$L \sim L' \iff$  they differ on **finite** amount of words.

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## Remark

For fixed  $L$  we extend  $\sim$  to words:  $w \sim w' \iff w^{-1}L \sim w'^{-1}L$

For fixed automata  $M$  we extend  $\sim$  to states:  $q \sim q' \iff L(q) \sim L(q')$   
(where  $L(q)$  is the language recognised starting from  $q$ ).

# Approach

## Idea

*We want a relation on words, such that equivalence classes are states of a hyper-minimal automaton,  $\sim$  is a natural candidate.*

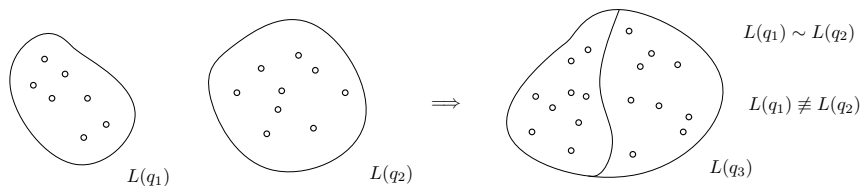


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- Classes of  $\sim$  are groups of classes of  $\equiv$ .
- We cannot greedily merge those groups:  $w : \delta(q_0, w) = q_1 : wL(q_1)$  changes to  $wL(q_3) \neq wL(q_1)$ . Infinitely many such  $w$  — problem!



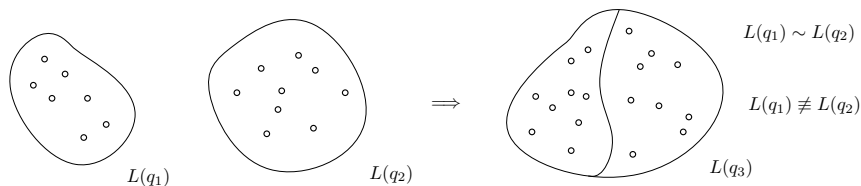
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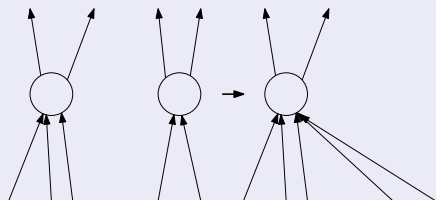
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## Definition

State  $q$  is in **preamble** if  $\{w : \delta(q_0, w) = q\}$  is finite. In **kernel** otherwise.

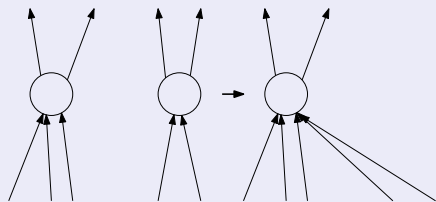
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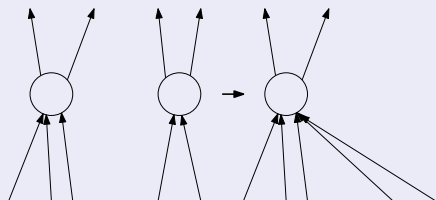
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*Greedyly merge  $q$  to  $p$  whenever*

- $q \equiv p$  or
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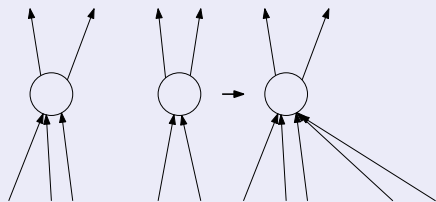
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## Theorem (A. Badr, V. Geffert, I. Shipman)

*The heuristic is proper, i.e. it results in hyper-minimal automaton  $f$ -equivalent to the input one.*

# Data structures

## Definition (Operational definition of $\sim$ )

- $D^M(q, q')$  if  $q = q'$  or,
- $D^M(q, q')$  if for all  $a \in \Sigma$   $D^M(\delta_M(q, a), \delta_M(q', a))$ .

## Lemma

*If the automaton  $M$  is minimised the  $D$  coincides with  $\sim$ .*

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We need a dictionary structure supporting

- query, if there are  $q, q'$  such that  
 $(\delta(q, 0), \delta(q, 1)) = (\delta(q', 0), \delta(q', 1))$
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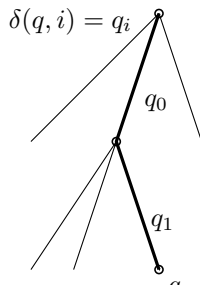
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- when  $q$  is merged to  $q'$ , fast update of  $\delta$
- Deterministic — tree: the path from root to the leaf is  $(\delta(q, 0), \delta(q, 1))$
- Randomised — hashing



# Algorithm

Calculating relation  $D$  over states

- identify  $q, q'$  with the same successors
- delete the one with less predecessors
- update the predecessors

Using  $D$  greedily merge states.

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Running time:  $\mathcal{O}(n \log n)$  times insertion time

- insertion time:
  - ▶ deterministic:  $\mathcal{O}(\log n)$
  - ▶ randomised  $\mathcal{O}(1)$

# Remarks and Questions

- $|\Sigma|$  has linear impact on the running time
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- Deterministic running time  $\mathcal{O}(n \log n)$ ?
- Checking the  $f$ -equivalence of two automata is faster?

# Refinement

## Definition (distance between languages)

$$d(L, L') = \begin{cases} \max\{|u| : u \in L(w) \Delta L(w')\} + 1 & \text{if } L \neq L' , \\ 0 & \text{if } L = L' . \end{cases}$$

## Definition ( $k$ - $f$ -equivalence)

$$L \sim_k L' \iff d(L, L') \leq k$$

## Definition

$M$  is  **$k$ -minimal** if it has the least number of states among the  $\sim_k$  automata.

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## Remark

Algorithm is similar, but some theoretical work is to be done.



# Approach

## Idea

- Suppose there are  $w_1, w_2$  with respective  $q_1, q_2$  and  $L(w_1), L(w_2)$ .
- We merge state  $q_1$  to  $q_2$
- Intuitively,  $w_1 L(w_1)$  changes to  $w_1 L(w_2)$
- If  $L(w_1) \neq L(w_2)$  we want
$$k \geq d(w_1 L(w_1); w_1 L(w_2)) = |w_1| + d(L(w_1), L(w_2))$$

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## Remark

This is **not** an equivalence relation: it is **not transitive**.

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## Lemma

If  $\{w_i\}_{i=1}^{\ell}$  satisfy  $w_i \not\sim_k w_j$  then every automaton  $k$ -f-equivalent to  $M$  has at least  $\ell$  states.

# Adjusting the relation

## Definition (Expanding for states)

For  $q$  define its **representative word**  $\text{word}(w)$ : the longest word  $w$  such that  $\delta(q_0, w) = q$ . (take any word of length  $k + 1$  if this is badly defined).

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Improving  $\sim_k$  to an equivalence relation  $\approx_k$  satisfying:

- $w \approx_k w'$  implies  $w \sim_k w'$
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- $w \not\approx_k w'$  implies  $\text{Rep}(w) \not\sim_k \text{Rep}(w')$

## Lemma

$\approx_k$  can be calculated out of  $\sim_k$  in a greedy fashion (using  $\text{word}$ )

# $k$ -minimal Automata

## Definition ( $k$ -minimal automata $N$ )

- $Q_N = \{\langle w \rangle : w = \text{Rep}(w)\}$
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## Lemma

$$N \sim_k M$$

## Proof.

- for  $\text{Rep}(q)$  s.t.  $|\text{Rep}(q)| > k$  transition structure does not change.
- for other states by backward induction we show that  $d(L_M(q), L_N(\text{Rep}(q))) \leq k$  □

It is  $k$ -minimal by previous lemma.

## Remark

Algorithm — refinement of the previous one



# Questions

- Deterministic running time  $\mathcal{O}(n \log n)$ ?
- Checking the  $k$ - $f$ -equivalence of two automata is faster?