## Generalised Pattern Matching Revisited

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March 20, 2020

**Input:** A text  $T \in \Sigma^n$ , a pattern  $P \in \Sigma^m$ 

**Output (Reporting):** All i such that T[i, i+m-1] matches P. **Output (Counting):** For each i, the number of positions  $j \in [m]$  such that T[i+j-1] does not match P[j].

T: abbabc

P: abc

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 $P: \mathbf{a} \mathbf{b} \mathbf{c} \rightarrow 2 \mathbf{mismatches}$ 

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P: **a b c**  $\rightarrow$  3 mismatches

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P: abc  $\rightarrow$  match

## Generalized Pattern Matching

**Input:** A text  $T \in (\Sigma_T)^n$ , a pattern  $P \in (\Sigma_P)^m$ , and a **matching** relationship  $\subseteq \Sigma_T \times \Sigma_P$ .

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Text: C A G T

Patterns: C A T 0 mismatches C A T 2 mismatches

## Size of matching relationship

Parameters describing the matching relationship:

- D maximum number of characters that match a fixed character
- $oldsymbol{\mathcal{S}}$  number of matching pairs of characters
- $\mathcal{I}$  number of intervals of matching characters from the pattern,  $\mathcal{I} = \sum_{j \in [m]} |I(P[j])|$

| $\Sigma_T \setminus \Sigma_T$ | <sub>P</sub> A | $\mathbf{C}$ | G            | Τ |
|-------------------------------|----------------|--------------|--------------|---|
| A                             | ✓              |              | $\checkmark$ |   |
| В                             | ✓              | $\checkmark$ | $\checkmark$ |   |
| $\mathbf{C}$                  |                |              | $\checkmark$ | ✓ |
| D                             | <b>√</b>       |              |              | ✓ |
| E                             | ✓              | $\checkmark$ |              | ✓ |
| $\mathbf{F}$                  | <b>√</b>       |              | $\checkmark$ | ✓ |
| G                             |                |              | ✓            |   |
|                               |                |              |              |   |

In the example: D = 5, S = 16, I = 2 + 2 + 2 + 1 = 7

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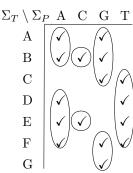
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| E                             | ✓              | $\checkmark$ |              | $\checkmark$ |
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In the example:  $\mathcal{D} = 5$ ,  $\mathcal{S} = 16$ ,  $\mathcal{I} = 2 + 2 + 2 + 1 = 7$ 

# History of the reporting variant

| Time   | Det./Rand. | Author            |
|--|------------|-------------------|
| $\mathcal{O}(\mathcal{D} \log^2 n( \Sigma_P \mathcal{D} + n \log n \log m))$ | Det.       | Indyk '97         |
| $\mathcal{O}(\mathcal{D}  n \log^6 n)$                                       | Det.       | DGS '20           |
| $\mathcal{O}(\mathcal{D}  n \log n \log m)$                                  | Rand.      | Muthukrishnan '95 |
| $\mathcal{O}(\mathcal{D}  n \log n \log m)$                                  | Rand.      | DGS '20           |
| $\mathcal{O}((\mathcal{S}m\log^2m)^{1/3}n)$                                  | Det.       | M. and R. '95     |
| $\mathcal{O}(\sqrt{S}  n \log^{7/2} n)$                                      | Det.       | DGS '20           |
| $\mathcal{O}(\sqrt{S}  n \log m \sqrt{\log n})$                              | Rand.      | DGS '20           |
| $\Omega(S)$  | Rand.      | DGS '20           |
| $\mathcal{O}(\mathcal{I} + (m\mathcal{I})^{1/3} n \sqrt{\log m})$            | Det.       | Muthukrishnan '95 |
| $\mathcal{O}(n\sqrt{\mathcal{I}\log m}+n\log n)$                             | Det.       | DGS '20           |

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| Time  | Det./Rand. | Author            |
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| $\tilde{\mathcal{O}}( \Sigma_P \mathcal{D}^2+\mathcal{D}n)$ | Det.       | Indyk '97         |
| $\mid 	ilde{\mathcal{O}}(\mathcal{D}  n) \mid$              | Det.       | DGS '20           |
| $\mid 	ilde{\mathcal{O}}(\mathcal{D}  n) \mid$              | Rand.      | Muthukrishnan '95 |
| $\mid 	ilde{\mathcal{O}}(\mathcal{D}  n) \mid$              | Rand.      | DGS '20           |
| $\tilde{\mathcal{O}}((\mathcal{S} m)^{1/3} n)$              | Det.       | M. and R. '95     |
| $ \tilde{\mathcal{O}}(\sqrt{\mathcal{S}} n) $               | Det.       | DGS '20           |
| $ \tilde{\mathcal{O}}(\sqrt{\mathcal{S}} n) $               | Rand.      | DGS '20           |
| $\Omega(S)$   | Rand.      | DGS '20           |
| $\mathcal{	ilde{O}}(\mathcal{I}+(m\mathcal{I})^{1/3}n)$     | Det.       | Muthukrishnan '95 |
| $\mathcal{	ilde{O}}(n\sqrt{\mathcal{I}})$                   | Det.       | DGS '20           |

# History of the counting variant

| Time  | Det./Rand. | Approx.           | Author            |  |
|---|------------|-------------------|-------------------|--|
| $\mathcal{O}(\varepsilon^{-2}\mathcal{D}^2 n \log^3 n)$                   | Det.       | $(1-\varepsilon)$ | Indyk '97         |  |
| $\mathcal{O}(\varepsilon^{-2}\mathcal{D}  n \log^6 n)$                    | Det.       | (1-arepsilon)     | DGS '20           |  |
| $\mathcal{O}(\mathcal{D}  n \log n \log m)$                               | Rand.      | log m             | Muthukrishnan '95 |  |
| $\mathcal{O}(\varepsilon^{-2}\mathcal{D}  n \log^3 n)$                    | Rand.      | (1-arepsilon)     | Indyk '97         |  |
| $\mathcal{O}(\varepsilon^{-1}\mathcal{D}  n \log n \log m)$               | Rand.      | (1-arepsilon)     | DGS '20           |  |
| $\mathcal{O}(\varepsilon^{-1}\sqrt{\mathcal{S}}n\log^{7/2}n)$             | Det.       | $(1-\varepsilon)$ | DGS '20           |  |
| $\mathcal{O}(\sqrt{\varepsilon^{-1}\mathcal{S}}  n \log m \sqrt{\log n})$ | Rand.      | (1-arepsilon)     | DGS '20           |  |
| $\mathcal{O}(\mathcal{I} + (m\mathcal{I})^{1/3} n \sqrt{\log m})$         | Det.       | _                 | Muthukrishnan '95 |  |
| $\mathcal{O}(n\sqrt{\mathcal{I}\log m} + n\log n)$                        | Det.       | _                 | DGS '20           |  |

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| $\mid 	ilde{\mathcal{O}}(arepsilon^{-1}\mathcal{D}n)$      | Rand.      | (1-arepsilon)     | DGS '20           |  |
| $\tilde{\mathcal{O}}(arepsilon^{-1}\sqrt{\mathcal{S}}n)$   | Det.       | $(1-\varepsilon)$ | DGS '20           |  |
| $\mathcal{\tilde{O}}(\sqrt{arepsilon^{-1}\mathcal{S}}n)$   | Rand.      | (1-arepsilon)     | DGS '20           |  |
| $\mathcal{\tilde{O}}(\mathcal{I} + (m\mathcal{I})^{1/3}n)$ | Det.       | _                 | Muthukrishnan '95 |  |
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## Reporting variant of GPM

Recall:  ${\mathcal S}$  is the total number of matching pairs  $({\checkmark})$ 

Character  $b \in \Sigma_P$  is heavy if it matches at least  $\sqrt{S}$  characters  $a \in \Sigma_T$ .

Light characters match  $<\sqrt{\mathcal{S}}$  characters, so  $\mathcal{D}=\sqrt{\mathcal{S}}$ 

Mismatches due to light characters

Run the  $\tilde{\mathcal{O}}(\mathcal{D}\,n)$ -time algorithm for  $\mathcal{D}=\sqrt{\mathcal{S}}$  .

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Character  $b \in \Sigma_P$  is heavy if it matches at least  $\sqrt{S}$  characters  $a \in \Sigma_T$ .

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Create an instance of PATTERN MATCHING WITH DON'T CARES for every heavy character  $b \in \Sigma_P$ :

$$T_b[j] = egin{cases} 0 & ext{if } T[j] \not\approx b, \ ? & ext{otherwise.} \end{cases} \qquad P_b[j] = egin{cases} 1 & ext{if } P[j] = b \ ? & ext{otherwise.} \end{cases}$$

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$$\text{Text:} \quad \text{b} \quad \text{a} \quad \text{c} \quad \text{b} \quad \text{a} \quad \text{c} \quad a \not\approx b \qquad ? \quad 0 \quad ? \quad ? \quad 0 \quad ?$$

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Use 2-wise independent hash function  $h: \Sigma_T \to [\mathcal{D}]$  $h(x) \approx (a \cdot x + b) \mod \mathcal{D}$ 

Create a new matching relationship M':

$$M'[h(a), b] = \checkmark$$
 iff  $\exists_{a \in \Sigma_T} M[a, b] = \checkmark$ 

Fact: If  $M[a, b] \neq \checkmark$  then  $Pr(M'[h(a), b] = \checkmark) \le 1/2$ 

Eliminate every non-occurrence of P in T with prob.  $\geq 1/2$  and use PATTERN MATCHING WITH DON'T CARES to solve GPM for M'.

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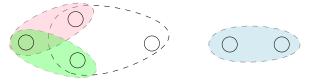
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### Discrepancy

Consider a family  $\mathcal{F}$  of z sets  $S_i \subseteq U$ ,  $i \in [z]$ . We call a function  $\chi: U \to \{-1, +1\}$ . The *discrepancy* of a set  $S_i$  is defined as  $\chi(S_i) = \sum_{u \in S_i} \chi(u)$ .

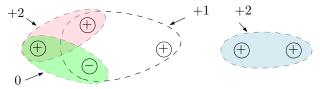
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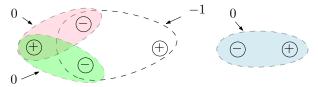
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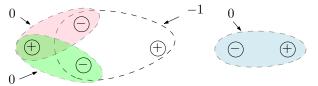
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## Derandomization [Chazelle, Beck, Fiala]

Assign  $\chi(u_1), \chi(u_2), \ldots$  without ever backtracking.

$$\varepsilon$$
 satisfies  $\log \frac{1+\varepsilon}{1-\varepsilon} = \Theta(\sqrt{\log(z)/k})$ . Minimize  $G = \sum_{i \in [z]} G_i$  where:

$$G_i = (1+arepsilon)^{p_i} (1-arepsilon)^{n_i} + (1+arepsilon)^{n_i} (1-arepsilon)^{p_i}$$

To assign  $\chi(u_j)$  compare  $G^+$  and  $G^-$  (note:  $G^+ + G^- = 2G$ ).

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To assign  $\chi(u_j)$  compare  $G^+$  and  $G^-$  (note:  $G^+ + G^- = 2G$ ).

#### Technical details:

- $oldsymbol{0}$  compute arepsilon with fixed basic operations
- 2 use only addition and multiplication
- never operate on too small numbers
- run the procedure efficiently

#### Derandomization

## Derandomization [Chazelle, Beck, Fiala]

Assign  $\chi(u_1), \chi(u_2), \ldots$  without ever backtracking.

$$\varepsilon$$
 satisfies  $\log \frac{1+\varepsilon}{1-\varepsilon} = \Theta(\sqrt{\log(z)/k})$ . Minimize  $G = \sum_{i \in [z]} G_i$  where:

$$G_i = (1 + \varepsilon)^{p_i} (1 - \varepsilon)^{n_i} + (1 + \varepsilon)^{n_i} (1 - \varepsilon)^{p_i}$$

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#### Technical details:

- ullet compute arepsilon with fixed basic operations
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For a family of z sets of at most k elements we can find in deterministic  $\mathcal{O}(zk\log z)$  time a colouring  $\chi$  such that  $\max_{i\in[z]}|\chi(S_i)|\leq \mathcal{O}(\sqrt{k\log z})$ 

## Superimposed codes

## Superimposed code

Let  $S_1, \ldots, S_z$  be subsets of a universe U. A family of bitstrings  $\{C_u, u \in U\}$  of length  $\ell$  with w ones, is called an  $(\{S_i\}, \tau)$ -superimposed code if for every  $S_i$  and  $u \notin S_i$  we have  $|C_u - \bigcup_{v \in S_i} C_v| \ge \tau$ .

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Code for 
$$S_1 = \{2, 4, 5\}, \tau = 2, \ell = 8, w = 5$$
:  
 $C_1 = 01101110$   
 $C_2 = 11110001$   
 $C_3 = 11001010$   
 $C_4 = 10110100$   
 $C_5 = 01100101$   
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$$C_2=11110001$$
 
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$$C_5=01100101$$
 
$$C_0$$

## [FOCS '97, Indyk]

Is there a deterministic algorithm computing in  $\tilde{\mathcal{O}}((zk)/\varepsilon^{\mathcal{O}(1)})$ -time an  $(\{S_i\}, (1-\varepsilon)w)$ -superimposed code with  $\ell = \tilde{\mathcal{O}}(k/\varepsilon^{\mathcal{O}(1)})$ ?

### Our approach:

- recursively partition the universe using discrepancy minimization  $\rightarrow$  after  $\log k + \mathcal{O}(\log^* k)$  steps, parts  $X_c \colon |X_c \cap S_i| \le \mathcal{O}(\log z)$
- ② combine it with a family of hash functions  $h_p(u) = \text{POL}(u) \mod p$  for all  $\Theta(\frac{2^d}{d})$  irreducible polynomials  $p \in \mathcal{P}_d$  of degree  $d = \Theta(\log \frac{t \log z}{\varepsilon})$

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# Application of superimposed codes

## Deterministic $(1-\varepsilon)$ -approximation of counting variant of GPM

Construct  $(\{S_b\}, (1-\varepsilon)w)$ -superimposed code for universe  $\Sigma_T$  and sets  $S_b$  with  $\ell = \tilde{\mathcal{O}}(\varepsilon^{-2}\mathcal{D})$ .

#### Create:

- ullet text  $T'[1,n\ell]$  by replacing characters from T with their codes
- pattern  $P'[1, m\ell]$  where every b from P is replaced with  $\bigcup_{a \in S_b} C_a$

Replace 1's in P' with ? and run  $\tilde{\mathcal{O}}(n\ell)$ -time algorithm for counting mismatches between T' and P'.

Deterministic  $(1-\varepsilon)$ -approximation in  $\tilde{\mathcal{O}}(\varepsilon^{-2}\mathcal{D}\,n)$  time.

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Let b be a parameter,  $S=\{x_1,x_2,\ldots,x_\ell\}$  be a sequence of integers, and  $s=\sum_{i\in [\ell]}x_i$ . Then S can be partitioned into  $\mathcal{O}(s/b+1)$  ranges  $S_1,S_2,\ldots$  such that, for every i, either  $|S_i|=1$  or  $\sum_{x\in S_i}x\leq b$ .

### Approach

- ullet divide characters from  $\Sigma_{\mathcal{T}}$  based on their counts
- use Subset Pattern Matching and Pattern Matching with Don't Cares

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