

## Multisets

A **multiset** is a set that can distinguish how often an element occurs in it. (Alternatively, it is a list that cannot distinguish the order of its elements.)

We write multisets with square brackets:

$$[a, b, c], \quad [ ], \quad [a, a, a, b, b], \quad [b, a, a, b, a].$$

The last two multisets are equal.

Formally, a multiset is a function  $S$  from its domain to  $\mathcal{N}$ , so one can write  $S(n)$  for the number of occurrences of  $n$  in  $S$ .

A multiset is **finite** if  $\sum_{d \in D} S(d)$  is finite.

## Operations on Multisets

Let  $D$  be the common domain of the multisets.

Define

$$A \cup B = \lambda d \in D : A(d) + B(d),$$

$$A \cap B = \lambda d \in D : \min( A(d), B(d) ),$$

$$A \setminus B = \lambda d \in D : A(d) - B(d).$$

In the definition of  $A \setminus B$ , it is assumed that for all  $d \in D : A(d) \geq B(d)$ .

## Resolution with Multisets

Using multisets, one can define resolution as follows:

**Resolution** From  $[A] \cup R_1$  and  $[\neg A] \cup R_2$  derive  $R_1 \cup R_2$ .

**Factoring** From  $[A, A] \cup R$  derive  $[A] \cup R$ .

It is possible to restrict resolution and factoring by a total order  $>$  as follows: In resolution, it must be the case  $A > R_1$ , and  $\neg A > R_2$ .

With factoring, it must be the case that  $A > R$ .

The resulting calculus is still complete. Try it out!

## Multiset Order

Let  $D$  be a domain for the multisets. Let  $<$  be a well-order on  $D$ .

The **multiset extension**  $<<$  of  $<$  to finite multisets is defined as follows:

$S_1 << S_2$  iff for every  $d \in D$  with  $S_1(d) > S_2(d)$ , there is a  $d'$ , s.t.  $d < d'$  and  $S_1(d') < S_2(d')$ .

Alternatively, one can say that for the  $<$ -maximal element for which  $S_1(d) \neq S_2(d)$ , it must be the case that  $S_1(d) < S_2(d)$ .

The maximal element exists because  $S_1$  and  $S_2$  are finite.

There is also the following definition: Pick one element  $d$  from  $S_2$  and replace it by an arbitrary, finite multiset of elements  $[d_1, \dots, d_n]$  with  $d_i < d$ . Call the resulting set  $S_1$ . Then  $S_1 << S_2$ .

## Multiset Order (2)

One can view a multiset as a number, where the digits are taken from  $\mathcal{N}$  and  $D$  are the possible positions:

Let  $N_1 = (d_m, \dots, d_0)$  and  $N_2 = (d'_{m'}, \dots, d'_0)$  be numbers :  $N_1$  is bigger than  $N_2$  if on the first position where  $N_1$  and  $N_2$  differ, the digit in  $N_1$  is bigger than the digit in  $N_2$ .

If  $N_1$  is a number, then a smaller number  $N_2$  can be obtained by decreasing one digit, while at the same time increasing arbitrary digits at lower positions.

For example:

$$(1, 0, 0, 0, 0, 0, 0) > (0, 9, 9, 9, 9, 9, 9).$$

## Superposition

Superposition is a combination of resolution and Knuth-Bendix completion.

A **clause** is a finite set of ground equalities or negations of ground equalities:

$$[ f(a) \approx b, g(b) \approx b ]$$

$$[ a \not\approx b, a \approx c ]$$

$$[ a \not\approx b, s(a) \approx s(b) ]$$

$$[ a \not\approx b, a \approx f(a) ]$$

## Ordering Equalities

Let  $\succ$  be a simplification order. We extend  $\succ$  to positive and negative equalities as follows:

$$\begin{aligned} S(t_1 \approx t_2) &= [t_1, t_2], \\ S(t_1 \not\approx t_2) &= [t_1, t_1, t_2, t_2]. \end{aligned}$$

Then  $A \succ B$  iff  $S(A) \succ S(B)$ .

Now we can use the order to direct equalities, and to sort equalities within a clause.

In order to allow non-equality predicates, we assume a constant term  $\mathbf{t}$ , with the property  $t \succ \mathbf{t}$  for all other terms  $t$ .

$$[A \approx \mathbf{t}, A \approx \mathbf{t}]$$

$$[A \not\approx \mathbf{t}, A \not\approx \mathbf{t}]$$

## Positive Superposition

Assume that  $[ t_1 \approx t_2 ] \cup R_1$  and  $[ u_1 \approx u_2 ] \cup R_2$  are derived clauses. If

1.  $t_1 \succ t_2$ ,
2.  $(t_1 \approx t_2) \succ\prec R_1$ ,
3.  $u_1 \succ u_2$ ,
4.  $(u_1 \approx u_2) \succ\prec R_2$ ,
5.  $u_1$  contains  $t_1$  as subterm,

then derive  $[ u_1[ t_1 \Rightarrow t_2 ] \approx u_2 ] \cup R_1 \cup R_2$ .



## Negative Superposition

Assume that  $[ t_1 \approx t_2 ] \cup R_1$  and  $[ u_1 \not\approx u_2 ] \cup R_2$  are derived clauses. If

1.  $t_1 \succ t_2$ ,
2.  $(t_1 \approx t_2) \succ\prec R_1$ ,
3.  $u_1 \succ u_2$ ,
4.  $(u_1 \not\approx u_2) \prec\prec R_2$ ,
5.  $u_1$  contains  $t_1$  as subterm,

then derive  $[ u_1[ t_1 \Rightarrow t_2 ] \not\approx u_2 ] \cup R_1 \cup R_2$ .

## Equality Reflexivity

Assume that  $[ t \neq t ] \cup R$  is a derived clause. If

1.  $(t \neq t) \succeq R$ ,

then derive  $R$ .

## Equality Factoring

Assume that  $[ t \approx u_1, t \approx u_2 ] \cup R$  is a derived clause. If

1.  $t \succ u_1$ ,
2.  $u_1 \succeq u_2$ ,
3.  $(t \approx u_1) \succeq R$ ,

then derive  $[ t \approx u_1, u_1 \not\approx u_2 ] \cup R$ .

In order to see the correctness of this rule, do a case split on  $u_1 \approx u_2$ .

**Note:** One could also use  $[ t \approx u_2, u_1 \not\approx u_2 ] \cup R$ , but it is better to use  $[ t \approx u_1, u_1 \not\approx u_2 ] \cup R$  because this clause is  $\succ$ -bigger, and therefore has a slightly better chance of being redundant.)

## Algorithm Based on Superposition

In order to check whether a set  $S$  of clauses is satisfiable, one can use the following algorithm:

As long as there exists a clause  $c$ , which can be derived from  $S$  by positive/negative superposition, equality reflexivity, or equality factoring, add  $c$  to  $S$ .

As soon as  $S$  contains the empty clause, we know that  $S$  is unsatisfiable.

If no more clauses can be added, we know that  $S$  is satisfiable.

## Soundness and Completeness

**Theorem:** If it is possible to derive the empty clause  $[]$  by repeated applications of positive/negative superposition, equality reflexivity and equality factoring from a clause set  $S$ , then the clause set  $S$  is unsatisfiable.

**Proof:** As usual, this is the easy part. It is sufficient to inspect the rules, and observe that they are logically sound.

**Theorem:** If a clause set  $S$  is not satisfiable, then it is possible to derive the empty clause by repeated applications of positive/negative superposition, equality reflexivity and equality factoring.

**Proof:** We call a clause set  $S$  **saturated** if every clause  $c$  that can be derived from  $S$  by a single application of positive/negative superposition, equality reflexivity, or equality factoring, is already present in  $S$ .

We will prove completeness by showing that every saturated clause set has a model, but we first introduce **redundancy**.

Redundancy is a very important optimization, which tells that not all derivable clauses have to be present in a saturated set. Because of this, it is possible to delete clauses from a saturated set without losing completeness.

## Redundancy

We have already seen some forms of redundancy:

1. If we have two clauses  $c_1$  and  $c_2$  with  $c_1 \subset c_2$  in  $S$ , then one would like to delete  $c_2$  from  $S$ . One says that  $c_1$  **subsumes**  $c_2$ .
2. If we have a clause  $[ t_1 \approx t_2 ] \cup R_1$  and another clause  $[ u[t_1] \approx u[t_2] ] \cup R_2$  with  $R_1 \subseteq R_2$  in  $S$ , then one would like to delete the second clause  $[ u[t_1] \approx u[t_2] ] \cup R_2$  from  $S$ .

## Redundancy (2)

Let  $c$  be a clause. Let  $S$  be a clause set. We say that  $c$  is **redundant in**  $S$  if there exist clauses  $c_1, \dots, c_n \in S$ , with the following properties:

1.  $c_1, \dots, c_n$  logically imply  $c$ , and
2. for each  $c_i$ ,  $c_i \preceq\preceq c$ .

Here  $\preceq\preceq$  is the extension of  $\preceq$  to clauses. (In theory, one should write four times  $\preceq$ , but I think two is enough.)

Since  $c_i \preceq\preceq c$  is equivalent to  $c_i \prec\prec c$  or  $c_i = c$ , we can reformulate the definition of redundancy as

1. either  $c \in S$ , or
2. there exist  $c_1, \dots, c_n$  with  $c_i \prec\prec c$ , which logically imply  $c$ .



## Saturated Clause Set

Let  $S$  be a clause set. We call  $S$  **saturated** if every clause  $c$  that can be derived from clauses in  $S$  with a single step of positive/negative superposition, equality resolution, or equality factoring, is redundant in  $S$ .

We call  $S$  a **saturation of** a clause set  $C$  if every clause  $c$  in  $C$  is redundant in  $S$ .

## Three Ways to Use Redundancy

Redundancy can be used in three possible ways:

1. If a new clause is obtained by one of the four rules, then check its redundancy. If it is redundant, then don't keep it. This is called **forward redundancy checking**.
2. If we derive a new clause, then check if any existing clauses become redundant. If this is the case, then delete these clauses. This is called **backward redundancy checking**.
3. Try to make logically correct inferences, (while completely ignoring the superposition calculus) that make existing clauses redundant. This is called **simplification**.

## Examples of Simplification

- Merging of repeated equalities is always possible: Every clause of form  $[ t \approx u, t \approx u ] \cup R$  can be replaced by  $[ t \approx u ] \cup R$ . Similarly, every clause of form  $[ t \not\approx u, t \not\approx u ] \cup R$  can be replaced by  $[ t \not\approx u ] \cup R$ .

In both cases, the merging is logically sound, and the result is  $\prec\prec$ -smaller.

- A clause of form  $[ t \not\approx u, f(t) \approx c ] \cup R$  can be replaced by  $[ t \not\approx u, f(u) \approx c ] \cup R$  if  $t \succ u$ .

The replacement is logically sound, and the result is  $\prec\prec$ -smaller.

## Model Construction

Let  $S$  be a saturated set of clauses. We will show that  $S$  has a model.

The model will be represented by a rewrite system  $I$  without critical pairs, and ordered by  $\succ$ .

Using properties of strongly normalizing, confluent rewrite systems, a clause  $c$  is true in this model iff either

1.  $c$  contains a positive equality  $t_1 \approx t_2$ , for which  $t_1$  and  $t_2$  have the same normal form in  $I$ .
2.  $c$  contains a negative equality  $t_1 \not\approx t_2$ , for which  $t_1$  and  $t_2$  have different normal forms in  $I$ .

## Model Construction (2)

Let  $\succ$  be our simplification order on terms. We first extend  $\succ$  to pairs of terms as follows:

$$(t_1, t_2) \succ_2 (u_1, u_2) \text{ iff } t_1 \succ u_1 \text{ or } (t_1 = u_1 \text{ and } t_2 \succ u_2).$$

If  $\succ$  has ordinal length  $\alpha$ , then  $\succ_2$  has ordinal length  $\alpha \times \alpha$ .

For an ordinal  $\lambda$  with  $0 \leq \lambda < \alpha \times \alpha$ , let  $\pi_\lambda$  be the pair that has index  $\lambda$ .

Given a saturated set  $S$ , we iterate through the pairs  $\pi_\lambda$ , and decide if  $\pi_\lambda$  should be added to the interpretation.

## Model Construction (3)

Let  $S$  be a saturated set. For  $\lambda \leq \alpha \times \alpha$ , we define:

- For a limit ordinal  $\lambda$ , put

$$I_\lambda = \bigcup_{\lambda' < \lambda} I_{\lambda'}.$$

- In order to define  $I$  for a successor ordinal, we specify how to obtain  $I_{\lambda+1}$  from  $I_\lambda$ : First write  $\pi_\lambda = (t_1, t_2)$ .

If (1)  $t_1 \succ t_2$ , and (2)  $I_\lambda$  does not contain a rule that can rewrite  $t_1$ , and (3) there exists a clause of form  $[ t_1 \approx t_2 ] \cup R$  in  $S$  with  $(t_1 \approx t_2) \succeq R$ , and (4) this clause  $[ t_1 \approx t_2 ] \cup R$  is false in  $I_\lambda$ , and (5)  $R$  would be still false in  $I_\lambda \cup \{t_1 \rightarrow t_2\}$ , then put  $I_{\lambda+1} = I_\lambda \cup \{t_1 \rightarrow t_2\}$ . Otherwise, put  $I_{\lambda+1} = I_\lambda$ .

Since 0 is a limit ordinal, and there are no ordinals below 0, we have  $I_0 = \{\}$ .

We assumed that  $I$  was defined for all  $\lambda' < \lambda$  to obtain a value for  $I_\lambda$ .

In the case of a successor ordinal, we looked only at the previous value. In the case of a limit ordinal, we used all preceding values.

In order to prove that the function  $I_\lambda$  is well-defined, one has to remember that every successor ordinal has a unique predecessor, which follows from well-foundedness.

**Theorem:** Every  $I_\lambda$  is strongly normalizing.

**Proof:** By construction we have  $t_1 \succ t_2$  for every rule  $(t_1 \rightarrow t_2)$  in  $I_\lambda$ , and  $\succ$  is a reduction order.

**Theorem:** No  $I_\lambda$  has a critical pair.

**Proof:** Suppose there were one, we would have distinct rules  $(t_1 \rightarrow t_2), (u_1 \rightarrow u_2) \in I_\lambda$  with  $t_1$  a subterm of  $u_1$ .

Assume that  $(t_1, t_2) = \pi_{\lambda_1}$  and  $(u_1, u_2) = \pi_{\lambda_2}$ . If  $t_1 \neq u_1$ , then it must be the case that  $t_1 \prec u_1$ , so that by definition of  $\prec_2$ , we have  $\lambda_1 < \lambda_2$ . If  $t_1 = u_1$ , we can assume without loss of generality that  $t_1 \prec u_2$ , so that in that case, we can also have  $\lambda_1 < \lambda_2$ .

At level  $\lambda_2$  of the construction, condition (2) would have been false, so that  $(u_1 \rightarrow u_2)$  would not have been added.



**Theorem MAXANDONLY:** For every rewrite rule  $t_1 \rightarrow t_2$  in  $I_{\alpha \times \alpha}$ , there is a clause  $c$  of form  $[ t_1 \approx t_2 ] \cup R$  in  $S$ , such that

1.  $t_1 \succ t_2$ ,
2.  $(t_1 \approx t_2) \succ \succ R$ ,
3.  $R$  is false in  $I_{\alpha \times \alpha}$ .

**Proof:** Let  $\lambda$  be the ordinal for which  $\pi_\lambda = (t_1, t_2)$ . The fact that  $(t_1 \rightarrow t_2) \in I_{\alpha \times \alpha}$  implies that some clause of form  $[ t_1 \approx t_2 ] \cup R$  met the conditions (1,2,3,4,5) of the model construction at stage  $\lambda$ . It follows from condition (1) that  $t_1 \succ t_2$ . Condition (3) implies that  $(t_1 \approx t_2) \succeq \succeq R$ . Because  $R$  is false in  $I_\lambda \cup \{t_1 \rightarrow t_2\}$ ,  $R$  cannot contain another instance of  $t_1 \approx t_2$ . It follows that  $(t_1 \approx t_2) \succ \succ R$ . It follows from condition (5) that  $R$  is false in  $I_\lambda$ . We show on the next slide that  $R$  is still false in  $I_{\alpha \times \alpha}$ .

## Proof of MAXANDONLY (2)

For a negative literal  $(u_1 \not\approx u_2) \in R$ , the fact that it is false in  $I_{\lambda+1}$  implies that  $I_{\lambda+1}$  merges  $u_1$  with  $u_2$ . Since  $I_{\lambda+1} \subseteq I_{\alpha \times \alpha}$ ,  $I_{\alpha \times \alpha}$  still merges  $u_1$  with  $u_2$ . As a consequence,  $(u_1 \not\approx u_2)$  is still false in  $I_{\alpha \times \alpha}$ .

## Proof of MAXANDONLY (3)

For a positive literal  $(u_1 \approx u_2)$ , suppose that  $I_{\alpha \times \alpha}$  merges  $u_1$  with  $u_2$ . We show that this contradicts the fact that  $I_{\lambda+1}$  does not merge  $u_1$  with  $u_2$ .

If  $u_1 = u_2$ , then obviously  $I_{\lambda+1}$  would merge  $u_1$  with  $u_2$ , so that this is a contradiction.

Since  $I_{\lambda+1}$  does not merge  $u_1$  with  $u_2$ , there must exist a rule  $(w_1 \rightarrow w_2) \in (I_{\alpha \times \alpha} \setminus I_{\lambda+1})$ , that is used when merging  $u_1$  with  $u_2$ . If  $w_1 = t_1$ , then this would result in a critical pair, because of the rule  $(t_1 \rightarrow t_2) \in I_{\lambda+1}$ .

It follows that  $(w_1, w_2) \succ_2 (t_1, t_2)$ , so that  $w_1 \succ t_1$ . Since every rewrite sequence is  $\succ$ -decreasing, it must be the case that  $u_1 \succeq w_1$  or  $u_2 \succeq w_1$ . Since  $t_1 \succ t_2$ , this would imply that  $(u_1 \approx u_2) \succ (t_1 \approx t_2)$ , which contradicts condition (3).

All clauses in  $S$  are true in  $I_{\alpha \times \alpha}$ .

We will prove this by transfinite induction, using the order  $\prec\prec$  on clauses.

We will assume that all clauses  $c'$  with  $c' \prec\prec c$  are true in  $I_{\alpha \times \alpha}$ , and use this fact to show that  $c$  is true in  $I_{\alpha \times \alpha}$ .

The proof consists of many cases, which depend on the form of the maximal element in  $c$ .

## Maximal Element is Negative

Assume that  $c$  has form  $[ t \neq t ] \cup R$  with  $(t \neq t) \succeq R$ .

Since  $S$  is saturated, there are clauses  $c_1, \dots, c_n \in S$ , with  $c_i \preceq R$ , which logically imply  $R$ . By induction, these clauses are true in  $I_{\alpha \times \alpha}$ , so that  $R$  is true in  $I_{\alpha \times \alpha}$ .

This implies that  $[ t \neq t ] \cup R$  is also true in  $I_{\alpha \times \alpha}$ .

## Maximal Element is Negative (2)

Assume that  $c$  has form  $[ t_1 \not\approx t_2 ] \cup R$  with  $(t_1 \not\approx t_2) \succeq R$  and  $t_1 \succ t_2$ .

If there is no rule  $(u_1 \rightarrow u_2) \in I_{\alpha \times \alpha}$  that can rewrite  $t_1$ , then  $t_1 \not\approx t_2$  must be true in  $I_{\alpha \times \alpha}$ , so that  $c$  is true in  $I_{\alpha \times \alpha}$ .

So assume there is a rule  $(u_1 \rightarrow u_2) \in I_{\alpha \times \alpha}$ , that can rewrite  $t_1$ .

By MAXANDONLY, there is a clause  $[ u_1 \approx u_2 ] \cup R'$  in  $S$ , s.t.  $u_1 \succ u_2$ ,  $(u_1 \approx u_2) \succ R'$ , and  $R'$  is false in  $I_{\alpha \times \alpha}$ . Since  $u_1$  is a subterm of  $t_1$ , one can apply negative superposition with  $c$  and obtain the clause  $[ t_1[u_1 \Rightarrow u_2] \not\approx t_2 ] \cup R \cup R'$ . This clause is  $\prec$ -smaller than  $c$ . Using the fact that  $S$  is saturated in the same way as on the previous slide, we see that

$[ t_1[u_1 \Rightarrow u_2] \not\approx t_2 ] \cup R \cup R'$  is true in  $I_{\alpha \times \alpha}$ . Since  $I_{\alpha \times \alpha}$  still merges  $[ t_1[u_1 \Rightarrow u_2] ]$  with  $t_2$ , and  $R'$  is false in  $I_{\alpha \times \alpha}$ , it follows that  $R$  must be true in  $I_{\alpha \times \alpha}$ . This implies that  $c$  is true in  $I_{\alpha \times \alpha}$ .

## Maximal Element is Positive

The cases where the maximal element is positive are similar, but much trickier.

If the maximal element is positive, then  $c$  can be written in the form

$$[ t_1 \approx t_2 ] \cup R \text{ with } t_1 \succ t_2 \text{ and } (t_1 \approx t_2) \succ \succ R.$$

There exists a  $\lambda$  with  $0 \leq \lambda < \alpha \times \alpha$ , s.t.  $\pi_\lambda = (t_1, t_2)$ .

In order to show that  $c$  is true, we need to make a very big case distinction. We check the cases on the following slides.

If  $t_1 = t_2$ , then  $c$  is obviously true.

If  $t_1 \neq t_2$ , then  $t_1 \succ t_2$ .

We first cover the case where there are no further occurrences of  $t_1$  in  $c$ . In this case,  $c$  can be written in the form  $[t_1 \approx t_2] \cup R$ , where  $R$  does not contain  $t_1$ . It is easily checked that  $(t_1 \approx t_2) \succ \succ R$ .

If  $I_{\alpha \times \alpha}$  contains a rule  $u_1 \rightarrow u_2$  that can rewrite  $t_1$ , then by MAXANDONLY, there is a clause of form  $[u_1 \approx u_2] \cup R'$  in  $S$ , s.t.  $u_1 \succ u_2$ ,  $(u_1 \approx u_2) \succ \succ R'$ , and  $R'$  is false in  $I_{\alpha \times \alpha}$ .

We can apply positive superposition with  $c$  and obtain  $[t_1[u_1 \Rightarrow u_2] \approx t_2] \cup R \cup R'$ . This clause is  $\prec \prec$ -smaller than  $c$ , so that we can assume that it is true by the reasoning that we have already seen before. Since  $R'$  is false in  $I_{\alpha \times \alpha}$ , it follows that either  $R$  is true in  $I_{\alpha \times \alpha}$ , or  $I_{\alpha \times \alpha}$  merges  $t_1[u_1 \Rightarrow u_2]$  with  $t_2$ . In the latter case, since  $I_{\alpha \times \alpha}$  contains  $u_1 \rightarrow u_2$ , it also merges  $t_1$  with  $t_2$ .



We now check the case where  $t_1 \succ t_2$ , there are no occurrences of  $t_1$  in  $R$ , there is no rule  $(u_1 \rightarrow u_2)$  in  $I_{\alpha \times \alpha}$  that can rewrite  $t_1$ , and  $R$  was true in  $I_\lambda$ .

Assume that  $R$  contains a positive equality  $u_1 \approx u_2$ , such that  $I_\lambda$  merges  $u_1$  and  $u_2$ . Since  $I_\lambda \subseteq I_{\alpha \times \alpha}$ , the terms  $u_1$  and  $u_2$  are also merged by  $I_{\alpha \times \alpha}$ , so that  $R$  is true in  $I_{\alpha \times \alpha}$ .

Assume that  $R$  contains a negative equality  $u_1 \not\approx u_2$ , s.t.  $I_\lambda$  does not merge  $u_1$  with  $u_2$ . Since neither  $u_1, u_2$  contains  $t_1$ ,  $I_{\lambda+1}$  will also not merge  $u_1$  with  $u_2$ . Now assume that  $I_{\alpha \times \alpha}$  merges  $u_1$  with  $u_2$ .

This implies that  $I_{\alpha \times \alpha} \setminus I_{\lambda+1}$  contains a rule  $(w_1 \rightarrow w_2)$  that played a role in merging  $u_1$  or  $u_2$ . It follows that either  $u_1 \succeq w_1$  or  $u_2 \succeq w_1$ , which implies  $t_1 \succ w_1$ . This contradicts the fact that  $(w_1 \rightarrow w_2) \in (I_{\alpha \times \alpha} \setminus I_{\lambda+1})$ .

We check the case where  $t_1 \succ t_2$ , there are no occurrences of  $t_1$  in  $R$ , there is no rule in  $I_{\alpha \times \alpha}$  that can rewrite  $t_1$ , and  $R$  is false in  $I_\lambda$ .

If  $I_\lambda$  merges  $t_1$  with  $t_2$ , then because  $I_\lambda \subseteq I_{\alpha \times \alpha}$ ,  $I_{\alpha \times \alpha}$  also merges  $t_1$  with  $t_2$ , so that  $c$  is true in  $I_{\alpha \times \alpha}$ .

If  $I_\lambda$  does not merge  $t_1$  with  $t_2$ , then the clause  $[t_1 \approx t_2] \cup R$  is false in  $I_\lambda$ .

If  $R$  would be true in  $I_\lambda \cup \{t_1 \rightarrow t_2\}$ , then the true element in  $R$  cannot have form  $u_1 \not\approx u_2$ : If  $I_\lambda \cup \{t_1 \rightarrow t_2\}$  does not merge  $u_1$  with  $u_2$ , then certainly, also  $I_\lambda$  does not merge  $u_1$  with  $u_2$ .

If it has form  $u_1 \approx u_2$ , and is true in  $I_\lambda \cup \{t_1 \rightarrow t_2\}$ , but not in  $I_\lambda$ , this can only happen when  $u_1$  or  $u_2$  contains  $t_1$ , which contradicts the assumption that  $R$  does not contain  $t_1$ .

As a consequence  $I_{\lambda+1}$  contains  $t_1 \rightarrow t_2$ , which implies that  $I_{\alpha \times \alpha}$  contains  $t_1 \rightarrow t_2$ , which makes  $c$  true.

(Finally, the model construction has done some useful work!)

It remains to check the cases where  $t_1 \succ t_2$ , and there are other occurrences of  $t_1$  in  $R$ . The occurrences cannot be in negative equalities, because that would imply that  $R \succ\prec (t_1 \approx t_2)$ .

It follows that  $R$  can be written in the form

$$[ t_1 \approx u_1, t_1 \approx u_2, \dots, t_1 \approx u_n ] \cup R',$$

where  $R'$  does not contain  $t_1$ , and each  $t_1 \succ u_j$ . (It is possible that  $u_j = t_2$ .)

If  $I_{\alpha \times \alpha}$  merges  $t_2$  with one of the  $u_j$ , we may assume without loss of generality that it is  $u_1$ . One can form the equality factor

$$[ t_1 \approx t_2, t_2 \not\approx u_1, t_1 \approx u_2, \dots, t_1 \approx u_n ] \cup R'$$

from  $c$ . Since the equality factor is  $\prec\prec$ -smaller than  $c$ , we can assume by the reasoning that we have already seen before that it is true in  $I_{\alpha \times \alpha}$ .

Since we assumed that  $I_{\alpha \times \alpha}$  merges  $t_2$  with  $u_1$ , it follows that  $t_2 \not\approx u_1$  is not true in  $I_\alpha$ , which implies that  $c$  is true in  $I_\alpha$ .

(and now we have seen the equality factoring rule in action!)

It remains to check the case where  $I_{\alpha \times \alpha}$  does not merge  $t_2$  with any of the  $u_j$ .

If  $I_\lambda$  merges  $t_1$  with  $t_2$ , then  $c$  is obviously true in  $I_{\alpha \times \alpha}$ .

If  $I_\lambda$  does not merge  $t_1$  with  $t_2$ , then  $c$  meets the conditions (1,2,3,4) in the model construction. By the argument from three slides ago, no element in  $R'$  will be true in  $I_\lambda \cup \{t_1 \rightarrow t_2\}$ .

If one of  $t_1 \approx u_j$  would be true in  $I_\lambda \cup \{t_1 \rightarrow t_2\}$ , this would imply that  $I_{\lambda+1}$  merges  $t_1$  with  $t_2$ , and also  $t_1$  with  $u_j$ . This would imply that  $I_{\lambda+1}$  also merges  $t_2$  with  $u_j$ , which contradicts the fact that we are checking here the case where  $I_{\alpha \times \alpha}$  does not merge  $t_2$  with any of the  $u_j$ . It follows that condition (5) is met, so that  $I_{\lambda+1}$  contains  $t_1 \rightarrow t_2$ , which implies that  $I_{\alpha \times \alpha}$  makes  $c$  true.

## Selection Functions

The superposition calculus can be further extended with selection functions:

A **selection function** is a function from clauses to sets of negative equalities. It must be the case that  $\Sigma(c) \subseteq c$ .

This means that  $\Sigma(c) = \emptyset$ , when  $c$  has no negative equalities.

## Use of Selection Functions

- A positive equality occurring in a clause  $c = [ t_1 \approx t_2 ] \cup R$  can be used in a rule application if  $(t_1 \approx t_2) \succ R$  (or  $(t_1 \approx t_2) \succeq R$ , dependent on the rule) and  $\Sigma(c) = \emptyset$ .
- A negative equality occurring in a clause  $c = [ t_1 \not\approx t_2 ] \cup R$  can be used in a rule application if either  $\Sigma(c) = \emptyset$  and  $(t_1 \not\approx t_2) \succeq R$ , or  $\Sigma(c)$  is not empty and  $(t_1 \not\approx t_2) \in \Sigma(c)$ .

## Completeness with Selection Functions

The model construction stays almost the same: Condition (3) has to be replaced by: there exists a clause of form  $[ t_1 \approx t_2 ] \cup R$  in  $S$  with  $(t_1 \approx t_2) \succeq R$ , and  $\Sigma( [ t_1 \approx t_2 ] \cup R ) = \emptyset$ .

As far as I see, the proof that all clauses are true in  $I_{\alpha \times \alpha}$  stays the same.



## Conclusions

The completeness proof is due to Leo Bachmair and Harald Ganzinger 1994.

It created order in a big chaos of combinations of optimizations of paramodulation, with different completeness proofs.

It is the basis of many theorem provers. (More about this later.)